

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10781484
Filing Date	2004-02-18
First Named Inventor	Rafail Zubok
Art Unit	3733
Examiner Name	N. W. Woodall
Attorney Docket Number	SPINE 3.0-455 CIP CONT V

## **U.S.PATENTS**

[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/NW/	1	7063725		2006-06-20	Foley	
/NW/	2	7125425		2006-10-24	Foley et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

[Add](#)

## **U.S.PATENT APPLICATION PUBLICATIONS**

[Remove](#)

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

[Add](#)

## **FOREIGN PATENT DOCUMENTS**

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button.

[Add](#)

## **NON-PATENT LITERATURE DOCUMENTS**

[Remove](#)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10781484
Filing Date	2004-02-18
First Named Inventor	Rafail Zubok
Art Unit	3733
Examiner Name	N. W. Woodall
Attorney Docket Number	SPINE 3.0-455 CIP CONT V

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Nicholas Woodall/	Date Considered	12/27/2007
--------------------	--------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10781484
Filing Date	2004-02-18
First Named Inventor	Rafail Zubok
Art Unit	3733
Examiner Name	N. W. Woodall
Attorney Docket Number	SPINE 3.0-455 CIP CONT V

## U.S. PATENTS

Remove

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/NW/	1	3278107		1966-10-11	Rygg	
	2	4105407		1978-08-08	Sanderson	
	3	4457484		1984-07-03	Hameister	
	4	4528980		1985-07-16	Kenna	
	5	5030219		1991-07-09	Matsen, III et al.	
	6	5236460	A1	1993-08-17	Barber	
	7	5514180		1996-05-07	Heggeness et al.	
/NW/	8	5549690		1996-08-27	Hollister et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10781484
Filing Date	2004-02-18
First Named Inventor	Rafail Zubok
Art Unit	3733
Examiner Name	N. W. Woodall
Attorney Docket Number	SPINE 3.0-455 CIP CONT V

/NW/	9	5556432		1996-09-17	Kubein-Meesenburg et al.	
	10	5599279		1997-02-04	Slotman et al.	
	11	5653762		1997-08-05	Pisharodi	
	12	5676701		1997-10-14	Yuan et al.	
	13	5683464		1997-11-04	Wagner et al.	
	14	5733290		1998-03-31	McCue et al.	
	15	5769856		1998-06-23	Dong et al.	
	16	5782830		1998-07-21	Farris	
	17	6051751		2000-04-18	Sioshansi et al.	
↓	18	6066174		2000-05-23	Farris	
/NW/	19	6139550		2000-10-31	Michaelson	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10781484
Filing Date	2004-02-18
First Named Inventor	Rafail Zubok
Art Unit	3733
Examiner Name	N. W. Woodall
Attorney Docket Number	SPINE 3.0-455 CIP CONT V

/NW/	20	6143012		2000-11-07	Gausepohl et al.	
	21	6159215		2000-12-12	Urbahns et al.	
	22	6174311		2001-01-16	Branch et al.	
	23	6193757		2001-02-27	Foley et al.	
	24	6213055		2001-04-10	Willinger et al.	
	25	6224607		2001-05-01	Michelson	
	26	6235034		2001-05-22	Bray	
	27	6235060		2001-05-22	Kubein-Meesenburg et al.	
	28	6277149		2001-08-21	Boyle et al.	
↓	29	6296647		2001-10-02	Robioneck et al.	
/NW/	30	6319257		2001-11-20	Carignan et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10781484
Filing Date		2004-02-18
First Named Inventor	Rafail Zubok	
Art Unit	3733	
Examiner Name	N. W. Woodall	
Attorney Docket Number	SPINE 3.0-455 CIP CONT V	

/NW/	31	6325828		2001-12-04	Dennis et al.	
	32	6342057		2002-01-29	Brace et al.	
	33	6413259		2002-07-02	Lyons et al.	
	34	6428544		2002-08-06	Ralph et al.	
	35	6436102		2002-08-20	Ralph et al.	
	36	6471725		2002-10-29	Ralph et al.	
	37	6478796	A1	2002-11-12	Zucherman et al.	
	38	6478800		2002-11-12	Fraser et al.	
	39	6527320	B1	2003-06-17	Gauchet et al.	
↓	40	6579290		2003-06-17	Hardcastle et al.	
/NW/	41	6589247	A1	2003-07-08	McGahan et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10781484
Filing Date		2004-02-18
First Named Inventor	Rafail Zubok	
Art Unit	3733	
Examiner Name	N. W. Woodall	
Attorney Docket Number	SPINE 3.0-455 CIP CONT V	

/NW/	42	6645248	A1	2003-03-06	Casutt	
	43	6652525		2003-11-25	Assaker et al.	
	44	6666866	A1	2003-12-23	Martz et al.	
	45	6679887	A1	2004-01-20	Nicholson et al.	
	46	6793678	A1	2004-09-21	John Hawkins	
	47	6800093	A1	2004-10-05	Nicholson et al.	
	48	6835206		2004-12-28	Jackson	
	49	6908484		2005-06-21	Zubok et al.	
/NW/	50	6991654		2006-01-31	Foley	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10781484
Filing Date		2004-02-18
First Named Inventor	Rafail Zubok	
Art Unit	3733	
Examiner Name	N. W. Woodall	
Attorney Docket Number	SPINE 3.0-455 CIP CONT V	

/NW/	1	20010007073	A1	2001-07-05	Zucherman et al.	
	2	20010010001	A1	2001-07-26	Michelson	
	3	20010012938	A1	2001-08-09	Zucherman et al.	
	4	20010020170	A1	2001-09-06	Zucherman et al.	
	5	20020017789	A1	2002-02-14	Holmes et al.	
	6	20020147450	A1	2004-09-21	LeHuec et al.	
	7	20030028197	A1	2003-02-06	Hanson et al.	
	8	20030120344	A1	2003-06-26	Michelson	
	9	20030208274	A1	2003-11-06	Davis	
	10	20030093153		2003-05-15	Banick et al.	
/NW/	11	20030135279		2003-07-17	Michelson	



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10781484
Filing Date	2004-02-18
First Named Inventor	Rafail Zubok
Art Unit	3733
Examiner Name	N. W. Woodall
Attorney Docket Number	SPINE 3.0-455 CIP CONT V

/NW/	12	20030176923		2003-09-18	Keller et al.	
	13	20030187454		2003-10-02	Gill et al.	
	14	20040024462	A1	2004-02-05	Feree et al.	
	15	20040138750	A1	2004-07-15	Mitchell	
	16	20040143332	A1	2004-07-22	Krueger et al.	
	17	20040068318		2004-04-08	Coates et al.	
	18	20040068320		2004-04-08	Robie et al.	
	19	20040133281	A1	2004-07-08	Khandkar et al.	
	20	20040167628		2004-08-26	Foley	
	21	20040243240		2004-12-02	Beaurain et al.	
/NW/	22	20050043803	A1	2005-02-24	Schultz et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10781484
Filing Date	2004-02-18
First Named Inventor	Rafail Zubok
Art Unit	3733
Examiner Name	N. W. Woodall
Attorney Docket Number	SPINE 3.0-455 CIP CONT V

/NW/	23	20050033430		2005-02-10	Powers et al.	
/NW/	24	20050256577		2005-11-17	Baumgartner et al.	
/NW/	25	20070106388		2007-05-10	Michelson	

If you wish to add additional U.S. Published Application citation information please click the Add button

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
1 /NW/	1	2004/026186	WO	A1	2004-04-01	Mathys Medizinaltechnik AG		<input checked="" type="checkbox"/>
2 /NW/	2	2004/019828	WO	A1	2004-03-11	Mathys Medizinaltechnik AG		<input checked="" type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Nicholas Woodall/	Date Considered	12/27/2007
--------------------	--------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10781484
Filing Date	2004-02-18
First Named Inventor	Rafail Zubok
Art Unit	3733
Examiner Name	N. W. Woodall
Attorney Docket Number	SPINE 3.0-455 CIP CONT V

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.